

DOCKET NO. 211641US2PCT

IN RE APPLICATION OF: Kouji YOSHIDA

PTO/PCT Rec'd 27 AUG 2001

SERIAL NO.: 09/869,293

FILED: July 18, 2001

FOR: PATTERN MATCHING METHOD AND UNIT, POSITION DETECTION METHOD AND UNIT, ALIGNMENT METHOD AND UNIT, EXPOSURE METHOD AND APPARATUS, AND DEVICE AND DEVICE MANUFACTURING METHOD

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☒ No additional fee is required.
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 has been established by a verified statement previously submitted.
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 has been established by a verified statement submitted herewith.
- ☒ Additional documents filed herewith: PCT Transmittal Letter/Preliminary Amendment Declaration/Assignment/Submission of Missing Requirements/Check for \$660.00 Form PTO 1595

The fee has been calculated as shown below.

| | (Col. 1) | (Col. 2) | (Col. 3) | SMALL ENTITY | SMALL ENTITY | OTHER THAN A | | |
|---|------------------------|----------|------------------------------------|---------------|--------------|----------------|--------|----------------|
| | CLAIMS REMAINING AFTER | | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA | RATE | ADDITIONAL FEE | RATE | ADDITIONAL FEE |
| TOTAL | * 35 | MINUS | ** 35 | = 0 | X9 = | \$ | X18 = | \$.00 |
| INDEP | * 4 | MINUS | *** 4 | = 0 | X39 = | \$ | X80 = | \$.00 |
| <input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM | | | | | +130 = | \$ | +270 = | \$ |
| TOTAL | | | | | | \$ | TOTAL | \$.00 |

A check in the amount of \$_____ is attached.

XX Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

XX If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Marvin J. Spivak
Marvin J. Spivak
Attorney of Record
Registration No. 24,913
Surinder Sachar
Registration No. 34,423



22850

(703) 413-3000

*If the entry in Column 2 is less than the entry in Column 1 write "0" in Column 3.

**If the "Highest Number Previously paid for" IN THIS SPACE is less than 20 write "20" in this space.

***If the "Highest Number Previously paid for" IN THIS SPACE is less than 3 write "3" in this space.

PTC/PET Rec'd 27 AUG 2001

DOCKET NO.: 211641US2PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kouji YOSHIDA

SERIAL NUMBER: 09/869,293

FILED: July 18, 2001

FOR: PATTERN MATCHING METHOD AND UNIT, POSITION DETECTION METHOD
AND UNIT, ALIGNMENT METHOD AND UNIT, EXPOSURE METHOD AND
APPARATUS, AND DEVICE AND DEVICE MANUFACTURING METHOD

SUBMISSION OF MISSING REQUIREMENTS UNDER 37 CFR 1.495

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

In accordance with the provisions of 37 CFR 1.495 Applicants submit herewith a Rule 63 Declaration, the Assignment and a Preliminary Amendment.

The required fee was paid at the time of filing the application.

In light of the foregoing, this application has now met all the requirements under 35 U.S.C. 371 for entering the national stage. An early receipt of the Notification of Acceptance is hereby earnestly solicited.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



22850

Marvin J. Spivak

Marvin J. Spivak
Registration No. 24,913
Surinder Sachar
Registration No. 34,423

FORM PTO-1390 (Modified)
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

211641US2PCT

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/869,293

INTERNATIONAL APPLICATION NO.

PCT/JP00/00182

INTERNATIONAL FILING DATE

18 January 2000

PRIORITY DATE CLAIMED

18 January 1999

TITLE OF INVENTION

PATTERN MATCHING METHOD AND UNIT, POSITION DETECTION METHOD AND UNIT,
ALIGNMENT METHOD AND UNIT, EXPOSURE METHOD AND APPARATUS, AND DEVICE AND
DEVICE MANUFACTURING METHOD

APPLICANT(S) FOR DO/EO/US

Kouji YOSHIDA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☐ Certificate of Mailing by Express Mail
23. ☒ Other items or information:

Submission of Declaration Under 37 CFR 1.495


| | | |
|--|--|---|
| U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.492(a)(1) - (5)) : 69/869,293 | INTERNATIONAL APPLICATION NO. PCT/JP00/00182 | ATTORNEY'S DOCKET NUMBER 211641US2PCT |
|--|--|---|

| | | | | | |
|--|--------------|--------------|-----------|--|----|
| 24. The following fees are submitted: | | | | CALCULATIONS PTO USE ONLY | |
| BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1000.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | PTO/PCT Fee 27 AUG 2001 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)). \$0.00 | | | | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
| Total claims | 35 - 20 = | 15 | x \$18.00 | \$270.00 | |
| Independent claims | 4 - 3 = | 1 | x \$80.00 | \$80.00 | |
| Multiple Dependent Claims (check if applicable). <input checked="" type="checkbox"/> | | | | \$270.00 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$620.00 | |
| <input type="checkbox"/> Applicant claims small entity status. (See 37 CFR 1.27). The fees indicated above are reduced by 1/2. | | | | \$0.00 | |
| SUBTOTAL = | | | | \$620.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). | | | | \$0.00 | |
| TOTAL NATIONAL FEE = | | | | \$620.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input checked="" type="checkbox"/> | | | | \$40.00 | |
| TOTAL FEES ENCLOSED = | | | | \$660.00 | |
| 01 F:968 | 270.00 OP | | | Amount to be: | \$ |
| 02 F:966 | 270.00 OP | | | refunded | |
| 03 F:964 | 80.00 OP | | | charged | \$ |

- a. ☒ A check in the amount of **\$660.00** to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **15-0030**. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

| | |
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| Telephone: (703)413-3000 Fax: (703)413-2220 <div style="text-align: center;">  22850 </div> | Surinder Sachar Registration No. 34,423 |
|--|--|

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|-------------------------|
| <i>Marvin J. Spivak</i> |
| SIGNATURE |
| Marvin J. Spivak |
| NAME |
| 24,913 |
| REGISTRATION NUMBER |
| <i>Aug. 27 2001</i> |
| DATE |